



ATTORNEY'S DOCKET NO. T0457/7003 TJO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tao T. Tao and Wei Bai
Serial No: 09/837,864
Filed: April 18, 2001
For: ELECTROCHEMICAL DEVICE AND METHODS FOR ENERGY
CONVERSION

Examiner: Not yet assigned
Art Unit: 1745

Box DD
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

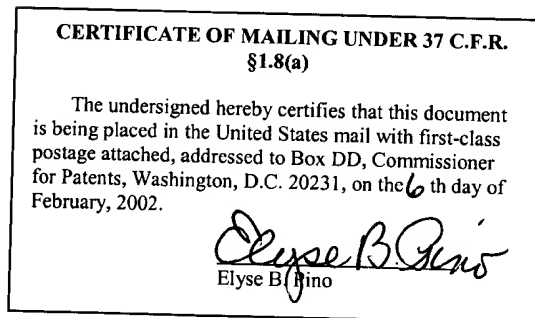
Transmitted herewith is/are the following document(s):

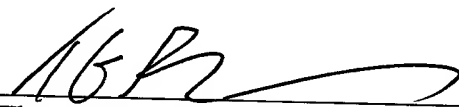
- ☒ Information Disclosure Statement
- ☒ Form PTO-1449 and References
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

There is no fee payable in this matter. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,




Thomas G. Field III, Reg. No. 45,596
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500

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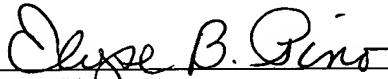
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#5

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box DD, Commissioner for Patents, Washington, D.C. 20231, on the 16th day of February 2002.


Elyse B. Pino

Box DD
Commissioner for Patents
Washington, D.C. 20231

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**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

A. This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

A. The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

B. The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

| <u>Serial No.</u> | <u>Filing Date</u> | <u>Title of Application</u> |
|-------------------|--------------------|-----------------------------|
| 09/819,886 | March 28, 2001 | A Carbon-Oxygen Fuel Cell |

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

German Patent Nos. DE 40 04 220 and DE 25 50 960 and French Patent Nos. FR 2 020 742 and 2 207 365 were cited in an International Search Report for related International Application No. PCT/US01/12616, filed April 18, 2001.

The following are remarks concerning the other information cited:

A copy of the International Search Report for related International Application No. PCT/US01/12616, filed April 18, 2001, is enclosed.

PART IV: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;

3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Tao T. Tao et al., Applicants

By: 
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Docket No. T0457/7003

Dated: 02/6/02

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